



FAX

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DATE: February 14, 2002

TIME: 1:21 PM

TO: Examiner Tam Nguyen; Group Art Unit 1764

COMPANY: U.S. Patent and Trademark Office

ADDRESS: Assistant Commissioner for Patents
Washington, D.C. 20231

FAX #: 703-872-9310

FROM: Charles W. Stewart

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FAX #: 713-289-4655

NUMBER OF PAGES (including cover sheet) 8

COMMENTS:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Eduardo J. Baralt and Russell Bak §
§

Serial No.: 09/678,413 §
§

Filed: October 2, 2000 §
§

For: Oligomerization of Alpha Olefins in the Presence of Carboxylic Acids §
§

Group Art Unit: 1764

Examiner: T. Nguyen

Attorney Docket: 09/678,413 US

AMENDMENT

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GROUP 1700
OFFICIAL

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
 Approved for use through 10/31/2002. OMB 0851-0031
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/678,413
	Filing Date	October 2, 2000
	First Named Inventor	Eduardo S. Baral et al.
	Group Art Unit	1764
	Examiner Name	T. Nguyen
Total Number of Pages in This Submission	Attorney Docket Number	09/678413US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks		
Form PTO/SB 122, Change of Correspondence Address, enclosed.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Charles W. Stewart, Registration No. 34,023
Signature	<i>Charles W. Stewart</i>
Date	February 14, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 2-14-02	
Typed or printed name	Kim Gregg
Signature	<i>Kim Gregg</i>
Date	2-14-02

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PTO/SB/17 (11-00)
Approved for use through 10/31/2002, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Compl to if Known

Application Number 09/678,413
Filing Date October 2, 2000
First Named Inventor Edward S. Baralt, Jr.
Examiner Name T. Nguyen
Group Art Unit 1764
Attorney Docket No. 09/678413US

TOTAL AMOUNT OF PAYMENT (\$ 920.00)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1629
Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	920.00
118	1,390	218	695	Extension for reply within fourth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	370	221	185	Request for oral hearing	
136	1,510	136	1,510	Petition to institute a procedure for reexamination	
140	110	240	55	Petition to revive - unavailability	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	300	244	150	Design issue fee	
145	300	245	150	Design issue fee	
146	300	246	150	Design issue fee	
147	300	247	150	Design issue fee	
148	300	248	150	Design issue fee	
149	300	249	150	Design issue fee	
150	300	250	150	Design issue fee	
151	300	251	150	Design issue fee	
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197	300	297	150	Design issue fee	
198	300	298	150	Design issue fee	
199	300	299	150	Design issue fee	
200	300	300	150	Design issue fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
03	18	203	9	Claims in excess of 20	
02	80	202	40	Independent claims in excess of 3	
04	270	204	135	Multiple dependent claim, if not paid	
09	80	209	40	** Reissue independent claims over original patent	
10	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

*For number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
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153	300	253	150	Design issue fee	
154	300	254	150	Design issue fee	
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156	300	256	150	Design issue fee	
157	300	257	150	Design issue fee	
158	300	258	150	Design issue fee	
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160	300	260	150	Design issue fee	
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163	300	263	150	Design issue fee	
164	300	264	150	Design issue fee	
165	300	265	150	Design issue fee	
166	300	266	150	Design issue fee	
167	300	267	150	Design issue fee	
168	300	268	150	Design issue fee	
169	300	269	150	Design issue fee	
170	300	270	150	Design issue fee	
171	300	271	150	Design issue fee	
172	300	272	150	Design issue fee	
173	300	273	150	Design issue fee	
174	300	274	150	Design issue fee	
175	300	275	150	Design issue fee	
176	300	276	150	Design issue fee	
177	300	277	150	Design issue fee	
178	300	278	150	Design issue fee	
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188	300	288	150	Design issue fee	
189	300	289	150	Design issue fee	
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195	300	295	150	Design issue fee	
196	300	296	150	Design issue fee	
197	300	297	150	Design issue fee	
198	300	298	150	Design issue fee	
199	300	299	150	Design issue fee	
200	300	300	150	Design issue fee	

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 920.00)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Charles W. Stewart	Registration No. (Attorney/Agent)	34,023
Signature	<i>Charles W. Stewart</i>	Telephone	(713) 289-4661
		Date	2-14-02

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